

Web Enrollment Instructions—Enrolling Accounts on schwaballiance.com

To enroll in electronic delivery, your client must have a schwaballiance.com Login ID and password. The instructions below outline the steps to Web-activate and enroll in electronic delivery.

Note: Your client may also call Schwab Signature Alliance at 800-515-2157 to obtain a Login ID and temporary password. Once set up, they will need to log on to www.schwaballiance.com to change their password.

Steps

- A. Go to www.schwaballiance.com.
- B. Click the “Web-activate your account(s)” link.

1. Login ID Setup

Enter the following information in the fields provided:

- Social Security Number
- Account number of a Schwab Institutional account
- Date of birth
- Home telephone number

Click "Continue."

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Login ID Setup

Page Help 

To access your account information online, you'll need to complete a brief registration process. To begin, please enter the information below to help us verify your identity.

Login ID Setup

Authentication

Account list

ID/password setup

User agreements

Authentication

Client identification

Please enter the following information:

Social Security # - -

[Don't have a Social Security number?](#)

Account # -

Date of birth / /
mm dd yyyy

Home telephone # - -

Cancel

Continue

[中文服務](#)

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2. Login ID Setup - Authentication


As an additional security step, identify a security held in this account from the list provided. You can refer to your account statement for this step. If none of the securities are held in the account, select "None of the above." Click "Continue."

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Login ID Setup Page Help

Authentication

Security Measure As an added security measure, please choose which security is held in this account.

 Only one attempt is allowed.

- WASTE MANAGEMENT INC DEL (WMI)
- EARTHLINK INC (ELNK)
- REDHAT INC (RHAT)
- XILINK INC (XLNX)
- LONGLEAF PARTNERS INTERN (LLINX)
- ROYAL DTCH 1.25 GUILDERF (RD)
- DOW CHEMICAL COMPANY (DOW)
- EMERSON ELECTRIC CO (EMR)
- VERISIGN INC (VRSN)
- NONE OF THE ABOVE

Login ID Setup

- Authentication
- Account list
- ID/password setup
- User agreements

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3. Login ID Setup – Account List

Select which Schwab Institutional account to display as your primary account. This is the first account that will appear when you log on to www.schwaballiance.com. All of the accounts shown will be activated for Web access.

You may also create a nickname for any or all of your accounts, and indicate a type for each account.

When finished, click “Continue.”

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Login ID Setup

[Log Out](#) [Page Help](#)

Account List

We have the following accounts associated with the authentication information you provided. Please choose a primary account, the first account you see when you log in. You may also create nicknames or group your similar accounts (optional).

Account number	Type	Personalize your account display		
		Primary account	Create nickname	This account is for (optional)
5124-3792	Schwab One	<input checked="" type="radio"/>	<input type="text"/>	Select One...

Login ID Setup

- Authentication
- Account list**
- ID/password setup
- User agreements
- Paperless delivery

If any of your accounts are missing, please contact your service team or call us at 1-800-435-4000.

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4. Login ID Setup – ID/Password Setup

Provide the information requested on the screen:

- Create a Login ID. You may create a personalized ID, 6 to 20 characters with at least one letter.
- Enter a password (must be 6 to 8 characters with at least one number between letters).
- Choose a secret question.
- Provide or confirm your email address.

Click “Continue.”

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Login ID Setup

[Log Out](#) [Page Help](#)

ID/Password Setup

Create an ID Create a personalized ID
6-20 characters with at least 1 letter

Create a password

Enter password
6-8 characters with at least 1 number in between letters

Re-enter password

Choose a secret question

Answer secret question
5-30 characters

Re-enter secret answer

Confirm your email
Your email is used for Schwab communication and regulatory purposes only. [See our privacy pledge](#)

Changes to your e-mail address will not update existing subscriptions. To change your e-mail address, log in and go to Schwab Alerts under the At a Glance tab.

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5. Login ID Setup – Confirmation

When you have completed the above setup steps, you will see a Confirmation Page. If you need to change any of the information, click “Edit.” If all information is correct, click “Continue.”

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Login ID Setup

[Log Out](#) | [Page Help](#)

Confirmation

Account List

Account number	Type	Primary account	Nickname	Grouping
5124-3792	Schwab One	Primary		

[Edit](#)

Login ID Setup

- Authentication
- Account list
- ID/password setup**
- User agreements
- Paperless delivery

Login ID and Password

[Edit](#)

Login ID	bulltest
Password	*****
Secret question	What's the name of the high school from which you graduated?
Secret answer	*****
Email	mary.bull@schwab.com

* Password and Secret Answer are encrypted for security purposes.

[Cancel](#) [Continue](#)

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7. Review the Nonprofessional subscriber definition, select which certification applies to you and then click the "I Agree" button at the bottom of the page to continue with your enrollment.

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IMPORTANT INFORMATION ABOUT YOUR ACCOUNT

We appreciate your patience in reviewing and approving the following agreements. **If you are an existing Schwab customer, you may have already seen these agreements in the past.** At that time, Schwab recorded your agreement to these terms against each of your accounts. In order to continue to deliver market data provided by the New York Stock Exchange (NYSE) and The Nasdaq Stock Market, Inc. (Nasdaq) (collectively the "exchanges"), we are required to have you re-affirm your consent to these agreements as an individual. These agreements have not recently been modified. Upon completion of this process, you will have access to real time stock quotations. Thank you for your understanding and cooperation.

If you need immediate access to your account(s) to place a trade, or if you have questions not answered here, please contact us at 1-800-435-4000 or your Signature team.

Please carefully read this short addendum and click on the appropriate response box. When you finish, please indicate your understanding and agreement by "clicking" the "I agree" box at the end of the document. Doing so will automatically record your agreement into our files, as required by the exchanges.

You may print these agreements for your files or you can also access these and other agreements at any time using the "Agreements" link at the bottom of Schwab.com pages once you are logged in. We thank you again for your patience and cooperation.

Online Addendum to Electronic Services Agreement

Charles Schwab & Co., Inc. ("Schwab") makes "Information," including real-time market data such as quotations, available to you pursuant to the terms and conditions set forth in your account agreement(s) and the "Electronic Services Agreement" to which you have previously agreed (collectively the "Agreement"). This Addendum applies to your online receipt and use of Information provided to Schwab by (1) the New York Stock Exchange, each Consolidated Tape Association participant, and each Consolidated Quotation participant, and (2) Nasdaq (collectively, the "Authorizing Entities").

1. NONPROFESSIONAL SUBSCRIBER DEFINITION

Solely for the purpose of Schwab's market data arrangements with the Authorizing Entities, "Nonprofessional Subscriber" means any natural person who is not:

- registered or qualified with the Securities and Exchange Commission (the "SEC"), the Commodities Futures Trading Commission, any state securities agency, any securities exchange or association, or any commodities or futures contract market or association.
- engaged as an "investment advisor" as that term is defined in Section 202(a)(11) of the Investment Advisor's Act of 1940 (whether or not registered or qualified under that Act), nor
- employed by a bank or other organization exempt from registration under Federal and/or state securities laws to perform functions that would require him or her to be so registered or qualified if he or she were to perform such functions for an organization not so exempt.

2. CERTIFICATION

After carefully reading the above definition, please click on one of the boxes below.

- Based on the above definition, I certify that I am a "Nonprofessional Subscriber."
- Based on the above definition, I certify that I am a "Professional Subscriber."

3. PERMITTED USE

If you are a Nonprofessional Subscriber, you shall receive Information solely for your personal, non-business use.

4. ELECTRONIC SERVICES AGREEMENT

The terms and conditions of the Agreement shall govern this Addendum and your receipt of Information except that, in the event of a conflict, the terms of this Addendum shall govern. All terms defined in the Agreement shall have the same meaning in this Addendum.

5. NASDAQ STOCK MARKET, INC

The Nasdaq Stock Market, Inc. ("Nasdaq"), as an Authorizing Entity and Information Provider as defined in the Agreement, is a third party beneficiary of the terms of this Addendum and the Agreement as relates to Information Providers. To the extent you have any claims against or by Nasdaq (not involving Schwab) arising out of the Agreement or this Addendum, those claims shall be governed by and construed and enforced in accordance with the laws of the State of Maryland without reference to principles of conflicts of law, and you consent to submit to the jurisdiction of the courts of or for the State of Maryland in connection with any such claim.

ACCEPTED AND AGREED: I acknowledge that I have read the preceding terms and conditions, and that I understand, assent to, and agree to comply with, those terms and conditions.

(1203-13806)

8. Paperless Enrollment Page will be displayed

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Paperless Enrollment

Go paperless today and benefit from the speed, convenience and security of receiving your trade confirmations (eConfirms), account statements (eStatements) and shareholder materials electronically.

Step 1: Choose accounts to enroll in electronic delivery services

Enroll all eligible accounts

Account Number	Account Type	Nickname	Enroll in eConfirms	Enroll in eStatements	Enroll in Shareholder Materials
1200-2601*	Schwab One		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
1200-2604	Schwab One		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

* Schwab Institutional.com accounts: To be eligible for reduced pricing by going paperless, you must enroll these accounts in both eConfirms and eStatements.

Step 2: Enter an email address to receive your paperless documents

Email Address:

For your convenience we allow you to use multiple email addresses for your paperless subscriptions. Entering a new email address here may update the others. However, you can change your email address at any time through the Schwab website.

Step 3: Consent to receive paperless documents

Informed Consent to Receive Paperless Documents

Your consent is required prior to your enrollment in one or more of Schwab's paperless subscriptions for Trade Confirmations, Account Statements or Shareholder Materials (individually, or collectively, "paperless subscriptions" or "paperless documents"). Schwab's paperless documents can offer significant advantages in terms of speed and convenience. Your consent to receive any paperless documents will be effective for all transactions and security holdings in the specified account(s) on an ongoing basis, unless you cancel or modify your paperless document enrollments or are otherwise notified by Schwab. For Schwab Institutional Clients, Canceling or modifying your enrollment may make your account(s) ineligible for lower commission rates, and the commissions you pay may increase.

Following your enrollment in paperless documents, you will be able to request a paper version of any document. For paper copies you may call Schwab at 800-435-4000. Schwab Institutional clients should contact their Investment Advisor or Schwab Alliance Service Team at 800-515-2157. A fee may apply for each paper copy of any paperless document you request.

To start receiving one or more paperless documents for one or more of your accounts, please review the terms below and provide your consent on the enrollment screen or at the bottom of this document. These terms will apply to all future enrollments by you in any paperless documents and will be available for your review at the time of any future subscription, as well as on Schwab.com under the Agreements link at the bottom of each page.

I accept the terms and conditions for receiving paperless documents for my accounts now and in the future. Please check this box and click Submit below.

(0007-4511)

Brokerage Products: Not FDIC Insured · No Bank Guarantee · May Lose Value

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Enrollment Instructions for Your Clients

Step 1: Choose accounts to enroll in electronic delivery services.

- Checking the "Enroll all eligible accounts" checkbox is a quick way to enroll multiple accounts.
- Enroll in eConfirms, eStatements and/or Shareholder Materials.
 - Unchecked Checkbox: Account is not enrolled.
 - Enrolled: Account is already enrolled.
 - Ineligible: Account and/or customer is not eligible to enroll.
- The asterisk next to an account number indicates a Schwab Institutional account and notes that enrollments in both eConfirms and eStatements are required for lower electronic equity trade commissions.

Step 2: Enter an email address to receive paperless documents.

- Confirm, add or edit your email address. This is an editable text field that is required to enroll in eConfirms, eStatements and/or Shareholder Materials.

Step 3: Consent to receive paperless documents.

- Use the scrollbar to review Terms and Conditions.
- Check the box to agree.
- Click "Submit" to complete enrollment and a confirmation page will be displayed.

9. **Paperless Enrollment Confirmation:** When you have successfully completed the paperless enrollment, you will be presented with a confirmation page. Click “Continue.”

Login ID Setup

[Log Out](#) [Page Help](#)

Paperless Delivery Confirmation

Login ID Setup

Thank you for your enrollment.

The current enrollment status for all your accounts is shown below, with the latest changes indicated in **bold**:

- Authentication
- Account list
- ID/password setup
- User agreements
- Paperless delivery**

Account Number	Account Type	Nickname	Enroll in eConfirms	Enroll in eStatements	Enroll in Shareholder Materials
1673-XXXX	IRA		Enrolled	Enrolled	Not Enrolled
1702-XXXX	IRA	Retirement	Ineligible	Ineligible	Ineligible
1705-XXXX	IRA		Enrolled	Enrolled	Enrolled
2743-XXXX	Schwab One	Personal	Enrolled	Not Enrolled	Not Enrolled
4400-0005XXXX	Inv. Checking		Ineligible	Ineligible	Ineligible

Notifications will be sent to the following address:

Email address:

To make changes to your electronic delivery enrollment or email address, go to Paperless Services on the Schwab website.

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10. **Login ID Setup Confirmation** page is the final page in your Login ID setup and paperless enrollment. From here, you may select “Continue to the Customer Center.”

The screenshot shows the Charles Schwab website interface. At the top left is the logo "charles SCHWAB". Below it is a gold horizontal bar. The main heading is "Login ID Setup" in blue, underlined. Below the heading is a "Thank You" section with a paragraph of text: "You have successfully completed the login set-up process. Please make note of your new ID and password, and keep them in a secure location. You should not share with anyone your personal information, including, but not limited to, your ID, password, or secret question and answer." Below this text is a button labeled "Continue to the Customer Center". At the bottom of the page, there is a small disclaimer: "The online services provided by Charles Schwab & Co., Inc. are for the exclusive use of Schwab customers. © 2007 Charles Schwab & Co., Inc. All rights reserved. Member SIPC. (1003-11124) Unauthorized access is prohibited. Usage will be monitored."

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